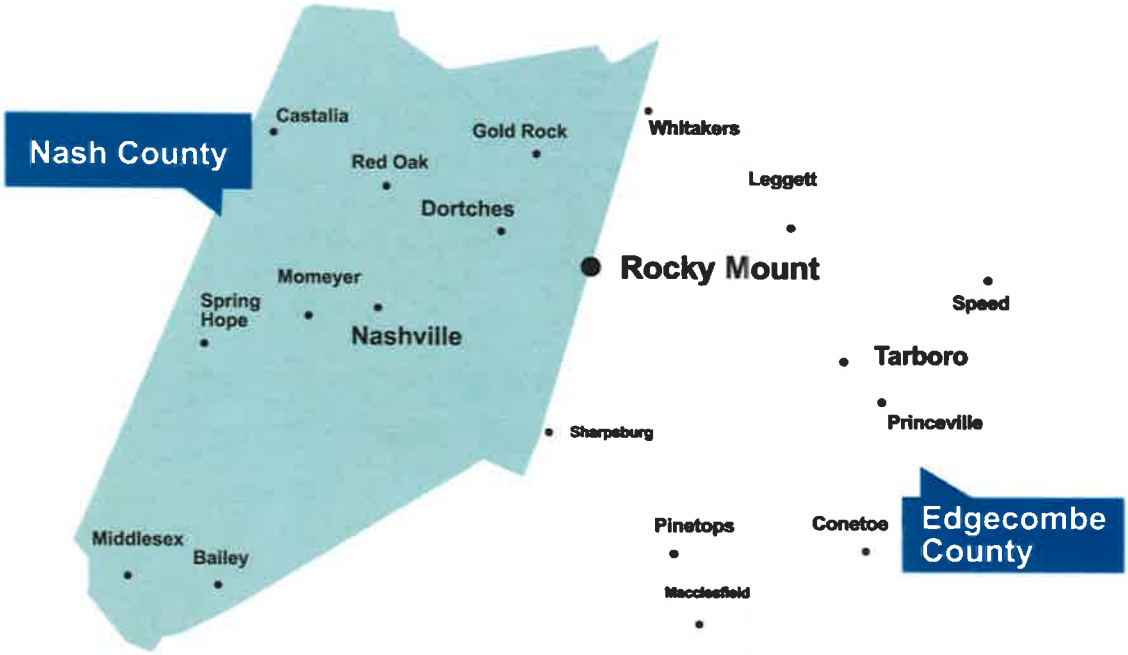
City of Rocky Mount

and

Down East HOME Consortium (DEHC)

2015-2016

Consolidated Annual Performance and Evaluation Report (CAPER)



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Executive Summary

The Down East HOME Consortium (DEHC) consists of fourteen (14) jurisdictions in Nash and Edgecombe Counties, known collectively as the Twin Counties. It was organized with ten (10) jurisdictions in 1996. The City of Rocky Mount, a CDBG Entitlement Community, is the Lead Entity for the Consortium. The Consortium is eligible to receive Home Investment Partnership (HOME) Program funds. The fourteen (14) DEHC member jurisdictions are:

- Town of Bailey
- Town of Conetoe
- Town of Dortches
- Edgecombe County
- Town of Middlesex
- Nash County
- Town of Nashville
- Town of Pinetops
- Town of Princeville
- City of Rocky Mount
- Town of Sharpsburg
- Town of Spring Hope
- Town of Tarboro
- Town of Whitakers

The City of Rocky Mount is the largest member jurisdiction of the Consortium, with a 2010 Census population of 57,477. Rocky Mount, Sharpsburg, and Whitakers are each located in both jurisdictions, Edgecombe and Nash counties. The town of Sharpsburg is a tri-county jurisdiction, sharing its jurisdiction with Wilson County as well as Edgecombe and Nash counties. Census data indicates that the total population of the DEHC was estimated at 153,164 on July 1, 2013.

The Consolidated Plan

The 2012-2017 DEHC Consolidated Plan is the comprehensive planning tool that identifies each member jurisdiction's housing and supportive services needs, individually and collectively, and outlines strategies and programs for addressing those needs over a five-year time frame. For each program year included in the Consolidated Plan, the City and the DEHC must develop and submit an Annual Action Plan to HUD. At the end of each program year an annual report is prepared outlining the year's activities. This document is the DEHC's 2015-2016 Consolidated Annual Performance and Evaluation Report (CAPER). This report provides an update on how well the Annual Action Plan was implemented and met the goals established at the beginning of the program year.

The Consolidated Plan and each subsequent Annual Action Plan are intended to place emphasis on broad participation of local citizens, and a variety of "Community Partner" agencies, that help create a unified vision of community development needs. The City of Rocky Mount and the Down East HOME Consortium actively seek to make their programs more efficient and effective in addressing the housing and community development problems faced by its low and moderate income populace. The DEHC is committed to working collectively to pursue all resources available to address the needs in the five-year plan. As the activities for each year in the plan are implemented, identified needs are addressed. This document is a report on the progress made in meeting those identified needs, the success of programs implemented and the level of cooperation between local governments, non-profits and citizens.

Outcomes

In FY 2015-2016 existing partnerships were strengthened, new ones were formed, and previous working relationships were rejuvenated. The City of Rocky Mount Community Development Division and the DEHC executed written agreements for projects or services with:

- Boys and Girls Clubs of Nash and Edgecombe Counties
- Rocky Mount/Edgecombe Community Development Corporation (RMECDC)
- Rocky Mount Housing Authority
- Rocky Mount Opportunities Industrialization Center (OIC)

Executive Summary

- Southeastern NC CDC
- Third Wave Housing, LLC
- United Community Ministries

Major accomplishments during the 2015-2016 program year include:

- Approval from North Carolina Housing Finance Agency (NCHFA) of a Low Income Housing Tax Credit (LIHTC) application for Beal Street Square Apartments (80 units) as well as another submission of a highly scored Low Income Housing Tax Crecit applications for another 80 apartments.
- 14 Urgent Repairs completed for low income homeowners
- 116 Small businesses and entrepreneurs received business services
- 78 Homeowners received foreclosure mitigation or pre-purchase housing counseling
- 20 students received in-depth classroom and hands on training in basic construction methods and carpentry skills

Areas for Improvement

CD staff hoped to initiate homeownership activities. However, staffing realities required a focus on completing multifamily rental projects already underway. Once the major recommendations of the housing plans were agreed to by City Council, staff began working with consultants on devising an implementation strategy for the recommendations contained in the study, which include incentivizing home ownership in the City.

PUBLIC NOTICE

CONCERNING THE FY 2015-2016

DOWN EAST HOME CONSORTIUM'S (DEHC)

Consolidated Annual Performance Evaluation and Reports (CAPER)

The DEHC's Consolidated Annual Performance Evaluation and Report (CAPER) for the CDBG and HOME Investment Partnerships Programs will be submitted to the Department of Housing and Urban Development (HUD) by October 25, 2016. The CAPER provides information on how the DEHC, which consists of fourteen (14) jurisdictions within Nash and Edgecombe Counties, expended its HOME funds during this past program year, as well as provides information on program accomplishments. The DEHC consists of Bailey, Conetoe, Dortches, Edgecombe County, Nash County, Middlesex, Nashville, Princeville, Sharpsburg, Spring Hope, Tarboro, Pinetops, Whitakers and the City of Rocky Mount. The CAPER also provides information on how the City of Rocky Mount has expended its CDBG funds in the previous program year. Prior to submittal, the citizens of Rocky Mount will be given the opportunity to review this report during a fifteen (15) day public review and comment period. The CAPER will be available for public review from September 29, 2016 through October 21, 2016 from 8:30 a.m. - 5:00 p.m. at Rocky Mount City Hall, Planning and Development Department. The CAPER will be on the October 24, 2016 City Council agenda. If you have any questions and/or comments concerning this notice, please contact Lea Henry, Community Development Administrator at (252) 972-1101.

Evaluación del Desempeño Anual Consolidado de la DEHC y de informe (CAPER) para la CDBG y para Inversión Programas Asociaciones serán presentados al Departamento de Vivienda y Desarrollo Urbano (HUD) antes del 25 de octubre de 2016. El CAPER proporciona información sobre cómo el DEHC, que consiste de catorce (14) jurisdicciones dentro de Nash y Edgecombe condados, gastado sus fondos HOME durante el pasado año del programa, así como proporciona información sobre los logros del programa. El DEHC consiste en Bailey, Conetoe, Dortches, Condado de Edgecombe, el condado de Nash, Middlesex, Nashville, Princeville, Sharpsburg, Spring Hope, Tarboro, Pinetops, Whitaker y la Ciudad de Rocky Mount. El CAPER también proporciona información sobre cómo la Ciudad de Rocky Mount ha gastado sus fondos CDBG en el programa del año anterior. Antes de la presentación, se les dará a los ciudadanos de Rocky Mount la oportunidad de revisar este informe durante un período de quince (15) días opinión pública y comentarios. El CAPER estará disponible para la revisión pública del 29 de septiembre, el año 2016 hasta el 21 de octubre de, 2016, frente 8:30 am - 5:00 pm en Rocky Mount Ayuntamiento, Departamento de Planificación y Desarrollo. El CAPER será el 24 de octubre de, el año 2016 del programa Ayuntamiento. Si usted tiene alguna pregunta y / o comentario sobre este aviso, por favor, póngase en contacto con Lea Henry, Administrador de Desarrollo de la Comunidad al (252) 972-1101.

ASSESSMENT OF PROGRESS TOWARD FIVE YEAR GOALS OF CONSOLIDATED PLAN

As the following summary indicates, in 2015-2016, the Down East Home Consortium (DEHC) and the City of Rocky Mount made considerable progress in attaining their current five-year goals.

DEHC Consolidated Plan 2012-2017 Progress Towards Five Year Goals	
Housing Goals	**Housing Activities**
Homeowner rehabilitation, especially for elderly and low-income residents	• 90 Energy Share Rebates Granted (City of Rocky Mount utility customers) • 14 Urgent Repairs completed
Conduct scattered site rehabs in rural, unincorporated areas	• 3 single-family unit rehabs completed
Seek funding for, and continue to work with other agencies to help abate lead-based paint hazards	• Shared lead abatement information with all rehab clients • Removed required lead amounts in each rehab conducted
Continue to support existing homeownership education and housing counseling programs	• RMECDC Housing Counseling Center served 85 of clients
Continue to support CHDOs and CHDO development projects	• One-on-one technical assistance as needed
Anti-Poverty Goals	**Anti-Poverty Activities**
Increase awareness of existing job-related and educational resources	• Highway Construction Class provided instruction to 19 students • RMECDC Business Development Center served 100 of clients • Hosted an OIC Youth Development program summer intern
Develop additional supportive housing and services for special populations	• HOME Loan to rehab 24 Harambee Square Affordable Apartments for senior citizens
Promote additional economic development to increase job opportunities	• Highway Construction Trade Class served 19 students • Solicited new Section 3 business and qualified individuals
Small business assistance programs	• RMECDC Business Development Center • City of Rocky Mount Downtown Building Assistance Program

ASSESSMENT OF PROGRESS TOWARD ONE YEAR GOALS OF ANNUAL ACTION PLAN

In addition to engaging in activities that were consistent with the priorities and strategies set forth in the Consortium's five-year plan, the City of Rocky Mount and the Down East Home Consortium's (DEHC) programs and activities over the past year addressed the needs and concerns of the DEHC and City's low and moderate-income populations that were identified in the 2015-2016 Action Plan:

I. Housing Activities:

a. Owner-Occupied Rehabilitations

Urgent Repair – resolves a major deficiency (totaling up to $8000) concerning health and safety for homes within the limits of Rocky Mount.

The City completed 14 urgent repairs within the City of Rocky Mount. This number of repairs is almost three times more completed than in the previous year.

Comprehensive Rehab Program – address all code issues for homes in Nash and Edgecombe Counties, but not within Rocky Mount city limits.

DEHC completed one (1) comprehensive home rehab in Nash County. The Consortium also initiated another home rehab to be finished in FY 2015. Seven (7) Edgecombe County qualified rehabs and one (1) pending replacements will be completed in the current fiscal year.

b. Multi-Family Development

Beal Street Square Apartments

The developer received low-income housing tax credits for residential development of 80 units for low to moderate income residents in its first phase. The DEHC committed up to $450k in HOME funds to support the project.

Holly Street

A $700k investment of HOME and NSP funds was committed to RMECDC to develop 4 duplexes totaling 8 rental units for tenants at 60% of area median income or less.

c. Housing Stock Improvements

Energy Share Program – a rebate program for City of Rocky Mount utility customers to upgrade their HVAC units and insulate their attics.

The City granted 90 utility customers $500 rebates for improvements on their homes.

II. Non-Housing Activities:

a. Small Business Development

RMECDC Small Business Development Center and Entrepreneurial Incubator

Provided $41,327 in CDBG funds to support the staffing, programming, and operations of the Rocky Mount/Edgecombe Small Business Development Center and Entrepreneurial Incubator.

b. Housing Counseling & Foreclosure Mitigation

Encouraging Homeownership

The Rocky Mount Housing Authority offers a vouchers program for residents of Housing Authority properties about using their Section 8 allocation toward purchase of a home.

RMECDC provides a Housing Counseling program which educates low to moderate income homebuyers and qualifies them for subsidized home loans.

RMECDC broke ground on two new single family homes at Genesis Estates. These three bedroom, two bath homes will be affordable for families at or below 80% of Area Median income.

Foreclosure Mitigation

RMECDC's Housing Counseling Center intervenes with homeowners to resolve mortgage issues before the foreclosure process takes place.

c. Job Training

Construction Training at OIC

As a continuation of construction training classes at OIC, the City of Rocky Mount provided CDBG funds to provide a carpentry component to the Highway Construction Training Program funded by the NC Department of Transportation.

d. Homelessness Prevention

Actions to Meet Supportive Housing Needs

Project 1- Permanent Supportive Housing Voucher Program.
This project continues to provide scattered site housing for disabled homeless individuals (with preference given to chronically homeless).

Project 2-UCM Rapid Rehousing Program
This project is a successful community strategy for ending homelessness. The intent is to minimize the length of time an individual or family remains in the limbo of homelessness and to help the household quickly re-establish stability. In the safety and predictability of permanent housing, they are encouraged to choose how, when and where they will address other life problems or goals using mainstream resources. Rapid Re-Housing resolves the crises of homelessness; the rest is up to the individual or family- and their community support system.

Program offered resources available to families include:

1. Housing stabilization services (Case Management)
2. Financial Assistance
    - Security Deposit
    - Utility Deposit

- Rent
- Utility Payment
- Rent Arrears
- Utility Arrears
- Application Fees

Project 3-House the Children/Bassett Center

This project provides transitional housing and intensive case management to homeless families. The program objective is to through case management identify barriers causing homelessness and begin working with families to develop an IDP while linking them with available resources;

- Nash County Department of Social Services provides rental assistance from funds provided by Nash County.
- Edgecombe County Department of Social Services provides rental assistance from funds provided by the county and FIGS a faith Based group formed to assist those families that may not fit the traditional criteria to receive financial assistance.
- Christ Centered Assistance Network provides rental assistance using donated funds from local Churches.

The House the Children/Bassett Center provided housing and case management to 56 homeless families in 2015-2016. These families consisted of 67 adults and 123 children. The number of families served increased over the previous program year because the length of time families remain at the Bassett Center has been shortened. UCM is transitioning to a rapid rehousing model wherein families and individuals are placed in permanent housing in which they help people find housing quickly, and continue to provide services to them outside of the Basset Center.

Actions to Address Emergency Shelter Needs

- United Community Ministries' Emergency Shelter, The Salvation Army, My Sister's House (Domestic Violence Victims), and Tarboro Community Outreach provides a total of 75 emergency shelter beds for homeless individuals in the area and last year's point in time count revealed a need for at least 60 additional emergency shelter beds. The faith community is helping meet the need for emergency shelter. Fellowship of Christ provides emergency shelter for up to 5 men people and First Baptist Church provides shelter for 4 single women.
- United Community Ministries' Emergency Shelter provided beds for 212 people.
- My Sister's House (Domestic Violence Victims), and Tarboro Community Outreach provided over 100 emergency shelter beds for homeless families in the area and last year's point in time count revealed a need for at least 40 additional emergency shelter beds for families.

Actions to Stabilize Transitional Housing

- United Community Ministries' Bassett Center continues to provide housing for 12 families at a time (up to 48 people). It is the only transitional housing for families with children in our area. Parents are learning new job skills via site offered Jobs Employability Skills Training Class.
- Nash County Government provided $30,000 to support Bassett Center program and operating cost.
- Two, privately-owned apartment complexes, Rolling Meadows and Cokey Road Apartments provide a total of ten (10) subsidized rental units per year specifically within the community.
- Local Housing Authority has prioritized Bassett Center families. Many families transition into permanent housing via the Rocky Mount Housing Authority properties or Section 8 vouchers.

Actions to Prevent Homelessness

- Rocky Mount Housing Authority provides affordable housing units that are available to homeless families making the transition to permanent housing. The Rocky Mount Housing Authority provides 695 units of public housing including 75 units of housing for elderly and disabled. They also provide 265 Section 8 vouchers.
- The Tarboro Redevelopment Commission provides 240 units of public housing which includes 34 units for elderly and disabled individuals.
- The Princeville Housing Authority provides 50 units of public housing.
- Nash-Edgecombe Economic Development, Inc. (NEED)—provides over 50 Section 8 vouchers for individuals and families in Nash and Edgecombe counties outside of Rocky Mount and Tarboro.
- The Salvation Army provides rental assistance through use of Emergency Food and Shelter Program funds.
- Tarboro Community Outreach provides rental assistance using donated funds.

e. Youth Development

- The City of Rocky Mount committed $6,500 to the Boys & Girls Club for their Junior Staff Development program which employs low to moderate income high school students during the summer months to assist in non-profit administration.
- City staff supervised a summer intern who participated in an OIC summer program that receives City support.

DEMOGRAPHIC COMPOSITION OF FAMILIES ASSISTED

I. CDBG Report

	Housing	Non Housing	Black	White	<30	low	mod	non mod	households
UCM		188	165	23	32	19	0		51
OIC		19	19		19				19
Boys & Girls Club		5	5		5				5
RMECDC		88	70	18	15	15	27	31	88
RMECDC		94	91	3	20	29	25	7	94
117 E. Bridgers St	1		1	0		1			1
1134 Sycamore St	1		1			1			1
2116 Farmer St	1	0	1			1			1
Total		394	353	44	91	66	52	38	260

II. HOME Report

	Housing	Non Housing	Black	White	<30	low	mod	non mod	households
SENCCDC	6		6			6			6
Holly Street Apartments	2		4	0		2			2
5948 Stott	1		1	0		1			1
207 N Lumber	1		1			1			1
803 Hilliardson Rd	1		1			1			1
207 Irwin St	1		1			1			1
12695 South St	1		1			1			1
531 Jefferson St	1		1			1			1
Total	**14**		**16**			**14**			**14**

RESOURCES AND INVESTMENTS

I. Leveraging

Construction Training - The City contributed CDBG funds to a Highway Construction Training Program supported by the North Carolina Department of Transportation. Students learned carpentry in addition to transportation construction skills.

Low Income Housing Tax Credits (LIHTC) – The City supported the approved application of another developer to construct eighty (80) rental units in the Happy Hill neighborhood, known as the Beal Street Square Apartments. The City also supported the application of a private developer to demolish a blighted rental community, Clairmont Apartments, and replace it with eighty (80) affordable rental townhouse units.

II. Match Log

See Attachment 1.

OTHER ACTIONS

I. Actions Taken to Remove Public Policy Barriers to Affordable Housing

Of the barriers identified in the Annual Action Plan, the DEHC has made progress in addressing the following:

- the high cost of housing created by rising land, infrastructure, and construction costs,
- difficulty in obtaining financing for the development of new housing,
  - DEHC subsidizes development by providing low or no cost financing to developers.
- the high costs of home repairs and maintenance, especially for persons with low or fixed incomes,
  - The City of Rocky Mount expanded the Urgent Repair program and completed fourteen (14).

For the remaining barriers, DEHC plans to explore remedies in future years.

- difficulty in obtaining financing for home mortgages
  - The City of Rocky Mount has worked with a national CDFI (Community Development Financial Institution) to develop a mortgage product tailored to the region. This mortgage will allow lower credit scores and lower down payment requirements than commercial lenders.
- a lack of incentives for developers to include affordable housing in their proposed developments.
  - While there has been a lack of interest from for-profit developers, the DEHC encourages for-profit developers to incorporate affordable housing in their plans.

II. Actions Taken to Reduce Lead-Based Paint Hazards

With every Comprehensive Rehab and every Urgent Repair conducted, the DEHC is vigilant about following lead abatement guidelines commensurate with the scope of the project.

III. Actions Taken to Enhance Coordination between Public and Private Housing and Social Service Agencies

The Rocky Mount Housing Authority (RMHA) did not have a CEO from September 2015 through July 2016. No new joint projects were initiated during this time. RMHA completed Russell Jackson Village and completed the rent up of the four PHA and eight nonprofit owned units.

IV. Actions Taken to Overcome Impediments to Fair Housing and Action Taken to Affirmatively Further Fair Housing

a. City of Rocky Mount Human Relations Department Fair Housing Activities

Most of the impediments to fair housing identified could be addressed through education, outreach, and advocacy and in FY 2015-2016 the Human Relations Department took the initiative to address the impediments in the following ways:

- We received and responded to 209 housing-related complaints – 90% are African American customers; 7% Caucasians and 3% Other
- The staff continues to assist Hispanic & other underserved families with housing related problems, mainly rental and some purchase related issues.
- The Human Relations Commission & Community Development Division partnered with Edgecombe County Human Relations Commission and Nash County Planning Department to sponsor a Fair Housing Forum in April. Presenters were:
    - NC Human Relations Commission – Fair Housing Updates
    - Legal Aide of Eastern NC – Landlord and Tenant Rights
    - Rocky Mount Edgecombe Community Development Corporation – Mortgage Assistance Program Updates
    - City of Rocky Mount Community Code Division – Minimum Housing Code Updates
    - City of Rocky Mount Community Development – Updates on new and existing neighborhood housing projects and housing related programs offered by the city
    - North Carolina Department of Health & Human Services – Residential Mold
- The Mayor proclaimed via proclamation the month of April 2016 as Fair Housing Month in the City of Rocky Mount.
- Human Relations staff continue to serve as hearing officers for Nash-Edgecombe Economic Development, Inc. for their Section 8 clients facing evictions.
- The Department staffed nineteen (19) neighborhood associations – providing Landlord/Tenant training for several of the groups.
- The City supported RMECDC's Loss Mitigation/Foreclosure Counseling and Housing Counseling programs that include information on fair housing.

b. Assessment of Effectiveness of Affirmative Marketing Plan

The City's marketing efforts include newspaper, internet, workshops/public hearings and grassroots (churches, business groups, etc.) methods. The City has found the most effective means of advertising programs is through grassroots methods and word of mouth. The City continues to meet with the presidents of neighborhood associations as a means of educating them on programs. Meetings are held monthly and have proven to be an effective means for not only marketing programs but also dealing with complaints. Staff also meets with the Redevelopment Commission on a monthly or as-needed basis and held several public hearings and meetings to update the public on program progress. A possible future marketing strategy is to have additional newspaper coverage of programs as they are available to the public. Our current strategies seem to be working effectively, last year we received 45 Urgent Repair applications for the year completing 14, with 6 additional with CDBG funds. HOME Rehab applications (36) were received 7 will be completed.

MONITORING

I. Program Compliance

The City of Rocky Mount's Planning and Development Department continues to be responsible for monitoring the program activities undertaken to achieve the objectives of the five-year Consolidated Plan. The monitoring procedures continue to be implemented to ensure compliance with applicable State and Federal requirements for CDBG, HOME, NSP, and other programs. Monitoring includes programs operated directly by the City and those carried out by any subrecipients. The City's Compliance Administrator is responsible for carrying out the monitoring provisions for CDBG & HOME activities of the Consortium. Specific monitoring activity during FY 2015-2016 included:

- RMECDC submits quarterly reports and an annual report of activities under the Housing Counseling, Business Center and CHDO Activities. Reports are required prior to disbursement of any requested funds.
- UCM submitted an end of year report on their CDBG activity, Bassett Center Transitional Housing Assistance.
- Boys & Girls Club of Nash and Edgecombe Counties submitted an end of year report on their CDBG activity, the Junior Staff Development Program.
- The Community Development Staff prepared periodic progress reports for review by the Planning Director, the Redevelopment Commission, the City Manager, and the City Council.
- Over the past year, and onsite monitoring of all CDBG and HOME subrecipients was conducted.

PROGRAM INCOME

I. CDBG & HOME Program Income

The City of Rocky Mount generates Program Income funds through program participants' repayment of a portion of HOME and CDBG rehabilitation loans. These funds are credited back to the rehabilitation program to enable the City to provide additional resources in their efforts to build a better community by providing safe, decent and affordable housing and to expand economic opportunities. During the past year, the City utilized a total $181,696.15 in CDBG and $46,213.50 in HOME program income.

Attachment 1

Match Log

ROCKY MOUNT NORTH CAROLINA CONSORTIUM
OCTOBER 1, 2015 - SEPTEMBER 30, 2016

HOME MATCH LOG

Project Activity Number	Date Project Committed	Project Address	Project Type	HOME Funds Expended	Date HOME $ Expended	Amount of Match Liability Incurred	Value of Match Contribution	Type of Match	Date Match Recognized	Comments
2861	8/302013	Harambee Square Phase One	H	$ 319,602.45	8/11/2016	$ 39,950.31	$ 39,950.31	Match Fund Reserve	12/14/2015	No money received from other sources will use reserve.
2874	7/29/2014	Holly Street Duplexes Phase Two	H	$ 203,264.05	1/13/2016	$ 25,408.01	$ 25,408.01	Match Fund Reserve	1/13/2016	No money received from other sources will use reserve.
2920	7/24/2015	207 N Lumber Street	H	$ 47,950.00	12/14/2015	$ 5,993.75	$ 5,993.75	Match Fund Reserve	12/14/2015	No money received from other sources will use reserve.
2898	5/18/2015	5948 Stott Street	H	$ 10,264.67	1/13/2016	$ 1,283.08	$ 1,283.08	Match Fund Reserve	1/13/2016	No money received from other sources will use reserve.
2936	10/22/2015	5948 Stott Street	H	$ 36,437.15	12/14/2015	$ 4,554.64	$ 4,554.64	Match Fund Reserve	12/14/2015	No money received from other sources will use reserve.
2922	6/15/2015	Holly Street Duplexes Phase III	0	$ 1,110.55	1/13/2016	$ 138.82	$ 138.82	Match Fund Reserve	1/13/2016	No money received from other sources will use reserve.
2809	6/26/2015	Holly Street Phase II CHDO Reserve	H	$ 45,536.36	12/9/2015	$ 5,692.05	$ 5,692.05	Match Fund Reserve	12/9/2015	No money received from other sources will use reserve.
2923	7/28//2015	Genesis Estates New Construction	H	$ 81,800.00	8/11/2016	$ 10,225.00	$ 10,225.00	Match Fund Reserve	8/11/2016	no money received from other sources will use reserve.
2873	7/28/2014	SENCCDC Beal Street Apartments	H	$ 97,474.30	9/25/2015	$ 12,184.29	$ 12,184.29	Match Fund Reserve	9/25/2015	No money received from other sources will use reserve.

SHEET TOTAL	$843,439.53	$105,429.94	$105,429.94
Old Balance Carry Forward - FY 2009-2010	**$567,612.44**		
HOME Fund Liability Incurred	$105,429.94		
Value of Match Contribution	$105,429.94		
NEW Balance Carry Forwarded	**$462,182.50**		

4/1/2016

Attachment 2

Section 3 Summary Report

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons
U.S. Department of Housing and Urban Development
Office of Fair Housing and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 11/30/2018)

Disbursement Agency
City of Rocky Mount
331 S. Franklin St., Rocky Mount, NC 27802
56-6001323

Federal Identification	B-13-MC-37-0021
Dollar Amount	$265,817.24
Contact Person	Deborah Jenkins
Date Report Submitted	12/04/2015

Reporting Period		**Program Code**	**Program Code Name**
From	**To**		
12/31/2013	12/30/2014	EC1	ENTITLED CITIES

Part I: Employment Training

Job Category	Number of New Hires	Number of New Hires that Are Sec. 3 Residents	Aggregate Number of Staff Hours Worked	Total Staff Hours for Section 3 Employees	Number of Section 3 Trainees
The expenditure of these funds did not result in any new hires.					

Total New Hires	0
Section 3 New Hires	0
Percent Section 3 New Hires	N/A
Total Section 3 Trainees	0
The minimum numerical goal for Section 3 new hires is 30%.	

Part II: Contracts Awarded

Construction Contracts	
Total dollar amount of construction contracts awarded	$0.00
Total dollar amount of contracts awarded to Section 3 businesses	$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	N/A
Total number of Section 3 businesses receiving construction contracts	0
The minimum numerical goal for Section 3 construction opportunities is 10%.	

Non-Construction Contracts	
Total dollar amount of all non-construction contracts awarded	$265,817.24
Total dollar amount of non-construction contracts awarded to Section 3 businesses	$0.00
Percentage of the total dollar amount that was awarded to Section 3 businesses	0.0%
Total number of Section 3 businesses receiving non-construction contracts	0
The minimum numerical goal for Section 3 non-construction opportunities is 3%.	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing.	
Yes	Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
Yes	Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
No	Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
No	Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
No	Other; describe below.
The City of Rocky Mount and Opportunities Industrialization Center, Inc. formed a partnership to have Carpentry Trade Program. This program is designed to help low or no income citizens to move into positions with construction companies in the area for job opportunities. We have received all those students¿ applications and approved as section 3 possible new hires. Although no job opportunities were open at this time.	